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PTO/SB/21 (modified)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE 0001/PTO U.S. Department of Commerce Application Number 09/774,236 Rev. 10/95 Patent and Trademark Office January 29, 2001 Filing Date TRANSMITTAL FORM First Named Inventor **Daniel Isaac** (to be used for all correspondence during pendency of Group Art Unit Number 2182 filed application) **Examiner Name** Not yet known FEB 2 7 2002 Total Number of Pages in This Submission 11 Attorney Docket Number 21939-5720 echnology Center 2100 **ENCLOSURES** (check all that apply) Issue Fee Transmittal Fee Transmittal Form (in duplicate) Check Enclosed Letter to Chief Draftsperson Return Receipt Postcard Formal Drawing(s): Response to Notice to File Missing Parts [ ] Sheet(s) of Figure(s) [ ] Appeal Communication to Board of Appeals and Assignment & Recordation Cover Sheet Interferences Declaration Appeal Communication to Group Power of Attorney (Appeal Notice, Brief, Reply Brief) **Application Data Sheet** Certified Copy of Priority Document(s) Supplemental Information Disclosure Statement & PTO-1449 Copy of IDS Cited Reference (1) After Allowance Communication to Group Request for Corrected Filing Receipt Request for Correction of Recorded Assignment Amendment/Response: [ ] Page(s) After Final Status Request Revocation and Substitute Power of Attorney **REMARKS:** SIGNATURE OF ATTORNEY OR AGENT Signature: Dated: Laura A. Majerus / Reg. No.: 33,417 20 Attorney/Reg. No.: CERTIFICATE OF MAILING I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10. Signature: Dated: Typed or Printed Name: Laura A. Majerve



## THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Daniel Isaac Goodman and Daniel Schreiber

APPLICATION NO.:

09/774,236

FILING DATE:

January 29, 2001

TITLE:

Method And System For Copy Protection Of Data Content

**EXAMINER:** 

Not yet known

**GROUP ART UNIT:** 

2182

ATTY. DKT. NO.:

21939-05720

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated

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By: Laura Mayor

Laura A. Majerus, Reg No.: 33,417

COMMISSIONER FOR PATENTS WASHINGTON, DC. 20231

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

before the mailing of a first official action after the filing of a requ										
			mination (RCE) under 37 CFR § 1.114;							
	after three months of the filing date of this national application or the date									
	of entry of the national stage in an international application, or after the									
	mailing date of the first official action on the merits, whichever event last									
	occurred, but before the mailing date of the first to occur of either: (1) a									
	final action under 37 CFR §1.113; or (2) an action that otherwise closes									
	prosec	ution in	the application, and:							
		attach	hed hereto is the fee set forth under 37 CFR §1.17(p) for							
		submi	ssion of this Information Disclosure Statement under 37							
		CFR.	§ 1.97(c); OR							
		Appli	Applicant certifies pursuant to 37 CFR § 1.97(e) that:							
			each item of information contained in this Information							
			Disclosure Statement was first cited in a communication							
			from a foreign patent office in a counterpart foreign							
			application not more than three months prior to the filing of							
			this Statement; OR							
			no item of information contained in this Information							
			Disclosure Statement was cited in a communication from a							
			foreign patent office in a counterpart foreign application							
			and, to the knowledge of the person signing this							
			certification after making reasonable inquiry, no item of							
			information contained in this Statement was known to any							
			individual designated under 37 CFR § 1.56(c) more than							
			three months prior to the filing of this Statement;							
	befor	e the pa	syment of the issue fee but after the mailing date of the first to							
	occur	of eith	er: (1) a final action under 37 CFR § 1.113; or (2) an action							
	that c	therwis	se closes prosecution in the application, and:							
		Appl	icant certifies pursuant to 37 CFR. § 1.97(e) that:							
			each item of information contained in this Information							
		_	Disclosure Statement was cited in a communication from a							
			foreign patent office in a counterpart foreign application no							
			more than three months prior to the filing of this Statement;							
			or							
			no item of information contained in this Information							
			Disclosure Statement was cited in a communication from a							

				foreign	n patent office in a counterpart foreign application					
				and, to	the knowledge of the person signing this					
				certific	cation after making reasonable inquiry, no item of					
				inform	nation contained in this Statement was known to any					
				individ	dual designated under 37 CFR § 1.56(c) more than					
				three n	nonths prior to the filing of this Statement; AND					
				attached heret	o is the fee set forth under 37 CFR §1.17(p) for					
				submission of	this Information Disclosure Statement under 37					
				CFR.§ 1.97(c)	); OR					
			after th	ne payment of t	he issue fee. Applicant request that the information					
			contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be							
			conside	ered by the US	PTO.					
	]	This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior								
		applica	ation No	o. [APPLICAT	ION NUMBER], filed on [FILING DATE], and the					
		references cited therein are hereby referenced, but are not required to be provided								
		in this application under 37 CFR § 1.98(d).								
	]	Each item of information contained in this Information Disclosure Statement was								
		cited in	n a com	munication fro	m a foreign patent office in a counterpart application,					
and the communication was			e comm	unication was i	not received by any individual designated in 37 CFR					
		§ 1.56(c) more than thirty days prior to the filing of this Information Disclosure								
		Statement. 37 CFR § 1.704(d).								
$\boxtimes$	$\boxtimes$	Applicant submits that no fee is required for the consideration of this Information								
Disclosure Statement.				atement.						
C	onsid	sideration of the listed references and favorable action are solicited.								
					Respectfully submitted,					
					DANIEL ISAAC GOODMAN AND DANIEL					
					SCHREIBER					
Dated: 01/22/02 By: Laura Majene										
Dated: _	017	24	02		By: <u>Laura Majerus</u> Laura A. Majerus, Reg. Nov. 33,417					
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Sheet 1 of 1

FORM PTO-1 (REV. 6-89)	449	Pan-117KU	S. DEPARTMENT OF COMMERCE atent and Trademark Office		Attorney's Docket No. 21939-05720		Serial No. 09/774,236		
		ATION DISCLOS		Applicant	Applicant				
			,		Daniel Isaac Goodman et al.				
		(Use several sheets if nec	essary)		Filing Date January 29, 2001		Group Art Unit 2182		
			U.S. PATENT DO	CUMENTS		-			
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate		
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		OTHER DOC	UMENTS (Including Auti	nor, Title, Date, Pe	ertinent Pages, E	Etc.)			
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EXAMINER			DAT	E CONSIDERED					
		nces considered, whether or r	not citation is in conformance with M	PEP § 609; Draw line the	hrough citation if not i	n conformance a	nd not considered.		